RegScale

Regulatory Response for Banks

Stop spending your weekends on regulatory requests

When regulatory fire drills become your new normal, it's time to rethink your approach. Most financial institutions are trapped in outdated processes that turn every examination into a grueling all-hands situation:

→ Managing the entirety of the exam in spreadsheets and emails

→ Collecting and organizing evidence across multiple teams and systems

→ Running the risk of providing inconsistent responses to different regulators and/or non-compliance due to manual tracking gaps

→ Weekend work becoming the norm during exam periods

Harness the Power of the CRI Profile v2.1

→ Right-size your approach via the Impact Tiering Questionnaire, which defines your institution's inherent and systemic risks

→ Implement the designated grouping of 208 – 318 Diagnostic Statements, a.k.a. common controls, which encompass 2,500+ global regulatory and supervisory requirements

→ Reuse content across different compliance standards by leveraging the Profile's native mapping to 25+ mainstream source documents, including CIS Critical Security Controls, CISA CPGs, and DORA

→ Seamlessly integrate regulatory response and related compliance activities with ongoing threat management operations via the Profile's mapping to MITRE ATT&CK

→ Get real-time, comprehensive visibility for cyber risk and compliance in a single platform for all regulatory exam management needs

Automate Your Regulatory Response Process

- Digitize and automatically assign incoming regulatory requests with the click of a button

- Automate the collection of evidence via API integration

- Enrich review and approval workflows via webhooks

- Receive smart notifications and escalations for overdue items.

- Get one-click exports to institutions and in regulator's preferred formats

Leverage Advanced AI Capabilities

- Reduce exam prep time by 60% with RegML Author by automatically drafting initial responses to first day letters (FDLs) and follow up requests (FURs)

- Proactively analyze your work for completeness and quality via RegML Auditor

- Take advantage of massive time savings by pre-populating responses to incoming questionnaires via RegML Responder

Value at Every Maturity Stage

Whether you're just starting regulatory automation or looking to optimize an existing program, our platform scales with your needs:

1 Early Stage
Centralize and automate basic evidence collection

2 Growing
Layer in cross-framework control mapping and reuse of key artifacts

3 Mature
Full DevSecOps integration to track security control hygiene from code to cloud

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Learn More

Ready to reclaim your weekends and reduce exam stress? Want to achieve **60% reduction** in audit and exam prep and response time? Let's talk about your specific regulatory response needs.

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